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EXPRESS MAIL LABEL NO.: EV 148 726 069 US

PATENT APPLICATION  
Docket No.: 13768.191

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- Form PTO-1449 list of five (5) references submitted for consideration.
- Legible copies of the listed references or their relevant portions.
- All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

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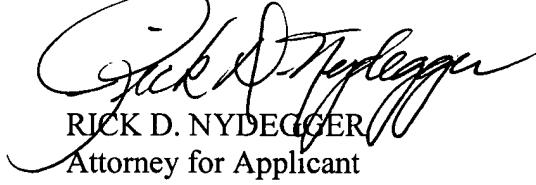
- Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.
- Legible Copies of previously filed Information Disclosure Statement for application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- Promptness Certification.
- Check No. \_\_\_\_\_ in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);
- Petition for Consideration and Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.
- In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 23 day of MAY, 2003.

Respectfully submitted,

  
RICK D. NYDEGGER  
Attorney for Applicant  
Registration No. 28,651



022913

PATENT TRADEMARK OFFICE

EXPRESS MAIL LABEL NO.: EV 148 726 069 US

PATENT APPLICATION

Docket No.: 13768.191



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re application of:

Paul Cador Roberts

Serial No.: 09/761,373

) Art Unit

Filed: January 16, 2001

) 2131

Confirmation No.: 5334

For: METHODS AND SYSTEMS FOR GENERATING  
ENCRYPTION KEYS USING RANDOM BIT  
GENERATORS

Examiner: unassigned

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Commissioner for Patents  
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Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

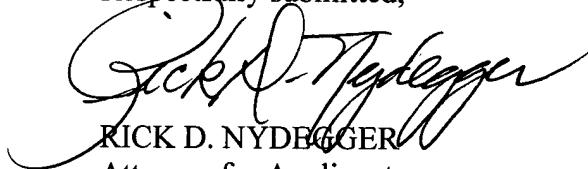
Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Dated this 23 day of MAY, 2003.

Respectfully submitted,



RICK D. NYDEGGER  
Attorney for Applicant  
Registration No. 28,651



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Form PTO-1449

Sheet 2 of 2

Applicant: Paul Cador Roberts  
Serial No.: 09/761,373  
Filing Date: January 16, 2001  
Confirmation No.: 5334  
For: METHODS AND SYSTEMS FOR GENERATING ENCRYPTION KEYS  
USING RANDOM BIT GENERATORS

Att'y Docket No.: 13768.191  
Group: 2131

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Applicant: Paul Cador Roberts  
 Serial No.: 09/761,373  
 Filing Date: January 16, 2001  
 Confirmation No.: 5334

Att'y Docket No.: 13768.191  
 Group: 2131

METHODS AND SYSTEMS FOR GENERATING ENCRYPTION KEYS  
 USING RANDOM BIT GENERATORS



SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

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U.S. Patent Documents

Examiner Initial*	Patent Number	Issue Date	Name	Class	Sub Class	Filing Date
A1.	5,412,730	05/02/95	Jones	380	46	04/23/92
A2.	5,428,686	06/27/95	Brown et al.	380	46	09/28/81

Foreign Patent Documents

Examiner Initial*	Document Number	Publ. Date	Country or Patent Office	Sub Class	Class	Trans-lation
A3.	WO 98/55717	12/10/98	PCT	9/08	H04L	Yes

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner  
 Initial\*

      A4. Gennaro, R., et al., "Two-Phase Cryptographic Key Recovery System," *Computers & Security*, vol. 16, no. 6, 1997, pages 481-506.

      A5. Zhou, J., "Further analysis of the Internet key exchange protocol," *Computer Communications*, November 1, 2000, vol. 23, no. 17, pages 1606-1612.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Paul Cador Roberts				Docket No. 13768.191
Serial No. 09/761,373	Filing Date January 16, 2001	Confirmation No. 5334	Examiner unassigned	Group Art Unit 2131
Invention: METHODS AND SYSTEMS FOR GENERATING ENCRYPTION KEYS USING RANDOM BIT GENERATORS				
<p>MAY 23 2003</p> <p>I hereby certify that the Transmittal letter (2 pages) (in triplicate); Supplemental Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (2 pgs); Legible Copies of Five (5) Cited References; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>MAY 23, 2003</u>.</p>				
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